

FILE CO.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 9040

SERIAL NUMBER 09/558,749	FILING DATE 04/20/2000 RULE	CLASS 382	GROUP ART UNIT 2621	ATTORNEY DOCKET NO. 021768.1087
APPLICANTS Rian R. Maloney, Plano, TX; <i>AB</i>				
** CONTINUING DATA ***** <i>AK</i>				
** FOREIGN APPLICATIONS ***** <i>AB</i>				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 07/14/2000				
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged <i>Richard R. Maloney</i> Examiner's Signature Initials		STATE OR COUNTRY TX	SHEETS DRAWING 4	TOTAL CLAIMS 55
				INDEPENDENT CLAIMS 7
ADDRESS Baker Botts L L P 2001 Ross Avenue Dallas, TX 75201-2980				
TITLE Method and system for emulating a check sorter				
FILING FEE RECEIVED 1762	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	

ATTORNEY DOCKET NUMBER
021768.1087

PATENT
09/558,749

Receipt

1
FILE COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

SEP 04 2001

Technology Center 2600

In re Application of: Rian R. Maloney
Serial No.: 09/588,749
Filing Date: April 20, 2000
Title: METHOD AND SYSTEM FOR EMULATING A CHECK
SORTER

Office of Initial Patent Examination's Customer
Service Center
Assistant Commissioner of Patents
Washington, D.C. 20231

I hereby certify that this
correspondence is being deposited
with the United States Postal Service
as first class mail in an envelope
addressed to: Office of Initial Patent
Examination's Customer Service
Center, Assistant Commissioner of
Patents, Washington, D.C. 20231, on
the date shown below.

D. J. Jaffee
Name
4/20/01
Date

Dear Sir:

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Attached is a copy of the Official Filing Receipt received from the U.S. Patent and Trademark Office in the above-identified patent application for which issuance of a corrected filing receipt is respectfully requested.

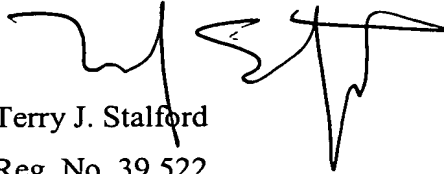
The Filing Date is incorrect. Please delete "04/21/2000" and insert --04/20/2000--.
Copies of the Express Mail Receipt and the Certificate of Mailing are enclosed. A corrected filing receipt is respectfully requested.

While it is believed that this is not an error by the Applicants, the Commissioner is hereby authorized to charge any amount required by this paper or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted this 20 day of April, 2001.

BAKER BOTTS L.L.P.

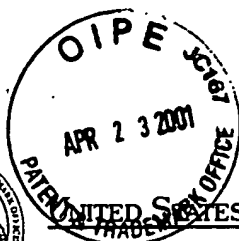
Attorneys for Applicant

A handwritten signature in black ink, appearing to read 'TJ Stalford', with a stylized flourish at the end.

Terry J. Stalford

Reg. No. 39,522

2001 Ross Avenue, Suite 600
Dallas, Texas 75201-2980
(214)953-6477
(sds)



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/558,749	04/21/2000 04/20/2000	3651	1762	021768.1087	4	55	7

Baker Botts L L P
2001 Ross Avenue
Dallas, TX 75201-2980

FILING RECEIPT



OC000000005389962

Date Mailed: 09/11/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Rian R. Maloney, Plano, TX ;

RECEIVED

SEP 04 2001

Continuing Data as Claimed by Applicant

Technology Center 2600

Foreign Applications

If Required, Foreign Filing License Granted 07/14/2000

Title

Method and system for emulating a check sorter

Preliminary Class

700

Data entry by : MARTIN, DIANE

Team : OIPE

Date: 09/11/2000

Docket ☒ Wrapper ☒RVP Docketed ☒

Reference(s) _____



LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

RECEIVED

SEP 04 2001

Technology Center 2600

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

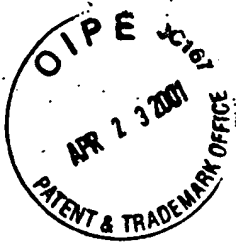
PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
 Office of Initial Patent Examination
 Customer Service Center
 Washington, DC 20231

This Page Blank (uspto)



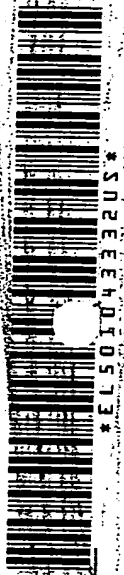
RECEIVED

SEP 04 2001

Technology Center 2600

Customer Copy
Label 11-F July 1997

**POST OFFICE
TO ADDRESSEE**



EL501043332US

EL501043332US

SEE REVERSE SIDE FOR
SERVICE GUARANTEE AND LIMITS
ON INSURANCE COVERAGE

ORIGIN (POSTAL USE ONLY)		Day of Delivery		Flat Rate Envelope	
PO ZIP Code		<input type="checkbox"/> Next <input checked="" type="checkbox"/> Second		<input type="checkbox"/>	
75260					
Date In		<input checked="" type="checkbox"/> 12 Noon <input type="checkbox"/> 3 PM		Postage	
4/20/00				\$ 15.25	
Time In		<input type="checkbox"/> 1st Day <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day		Return Receipt Fee	
2:10 PM					
Weight		Int'l Alpha Country Code		COD Fee	
10 lbs.					
No Delivery		Acceptance Mark/Initials		Insurance Fee	
<input type="checkbox"/> Weekend <input type="checkbox"/> Holiday		[Signature]			
		Total Postage & Fees		\$ 15.25	

CUSTOMER USE ONLY

METHOD OF PAYMENT:

Express Mail Corporate Acct. No. _____

Federal Agency Acct. No. or Postal Service Acct. No. _____

WAIVER OF SIGNATURE (Domestic Only): Additional merchandise insurance is void if waiver of signature is requested. I wish delivery to be made without obtaining signature of addressee or addressee's agent (if delivery employee judges that article can be left in secure location) and I authorize that delivery employee's signature constitutes valid proof of delivery.

☐ NO DELIVERY ☐ Weekend ☐ Holiday

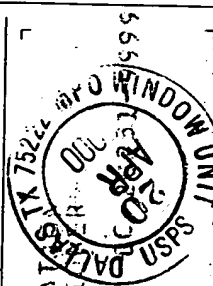
FROM: (PLEASE PRINT) PHONE () _____

BAKER, BUTTS L.L.P.
2001 ROSS AVE STE 200
CALLAS TX 75201-2980

TO: (PLEASE PRINT) PHONE () _____

EGX PATENT APPLICATION
ASSISTANT COMMISSIONER
OF PATENTS
WASHINGTON

Customer Signature _____



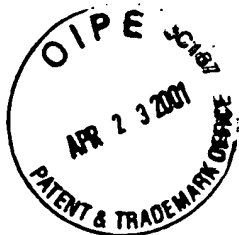
L 021768.1087 (TJS) (02844)

PRESS HARD.

You are making 3 copies.

FOR PICKUP OR TRACKING CALL 1-800-222-1811

WWW.USPS.GOV



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Rian R. Maloney
Date Filed: April 20, 2000
Title: METHOD AND SYSTEM FOR EMULATING A
CHECK SORTER

BOX PATENT APPLICATION

Honorable Assistant Commissioner

For Patents

Washington, D.C. 20231

RECEIVED

SEP 04 2001

Technology Center 2600

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Application Transmittal Form, Patent Application, unexecuted Declaration and Power of Attorney, four (4) sheets of Formal Drawings, a check in the amount of \$1632.00 to cover the application filing fee, and this Certificate of Mailing are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 20th day of April, 2000 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Willie Jiles

Willie Jiles

Express Mail Receipt
No. EL501043332US
Attorney's Docket:
021768.1087

EL501043332US